

# Employee Diversity

Firm \_\_\_\_\_

Date \_\_\_\_\_

## Number of Employees

### Race/Ethnicity

| Job Categories                 | Male    |                    |                           |                                           |         |                                  |             | Female  |                    |                           |                                           |         |                                  |             | Totals  |         |         |
|--------------------------------|---------|--------------------|---------------------------|-------------------------------------------|---------|----------------------------------|-------------|---------|--------------------|---------------------------|-------------------------------------------|---------|----------------------------------|-------------|---------|---------|---------|
|                                | White   | Hispanic or Latino | Black or African American | Native Hawaiian or Other Pacific Islander | Asian   | American Indian or Alaska Native | No Response | White   | Hispanic or Latino | Black or African American | Native Hawaiian or Other Pacific Islander | Asian   | American Indian or Alaska Native | No Response | Male    | Female  | Total   |
|                                |         |                    |                           |                                           |         |                                  |             |         |                    |                           |                                           |         |                                  |             |         |         |         |
| Executive/Sr. Officials & Mgrs | 0       |                    |                           |                                           |         |                                  |             |         |                    |                           |                                           |         |                                  |             | 0       | 0       | 0       |
| First/Mid Officials & Mgrs     |         |                    |                           | 0                                         |         |                                  |             |         |                    |                           |                                           |         |                                  |             | 0       | 0       | 0       |
| Investment Professionals       |         | 0                  |                           |                                           |         |                                  |             |         |                    |                           |                                           |         |                                  |             | 0       | 0       | 0       |
| Technicians/Traders/Analysts   |         |                    |                           |                                           |         |                                  |             |         |                    |                           |                                           |         |                                  |             | 0       | 0       | 0       |
| Sales Workers                  |         |                    |                           |                                           |         |                                  |             |         |                    |                           |                                           |         |                                  |             | 0       | 0       | 0       |
| Craft Workers                  |         |                    |                           |                                           |         |                                  |             |         |                    | 0                         |                                           |         |                                  |             | 0       | 0       | 0       |
| Operatives                     |         |                    |                           |                                           |         |                                  |             |         |                    |                           |                                           |         |                                  |             | 0       | 0       | 0       |
| Laborers & Helpers             |         |                    |                           |                                           |         |                                  |             |         |                    |                           |                                           |         |                                  |             | 0       | 0       | 0       |
| Service Workers                |         | 0                  |                           |                                           |         |                                  |             |         |                    |                           |                                           |         |                                  |             | 0       | 0       | 0       |
| Other                          |         |                    |                           |                                           |         |                                  |             |         |                    |                           |                                           |         |                                  |             | 0       | 0       | 0       |
| Total # Of Employees           | 0       | 0                  | 0                         | 0                                         | 0       | 0                                | 0           | 0       | 0                  | 0                         | 0                                         | 0       | 0                                | 0           | 0       | 0       | 0       |
| Total % of Employees           | #DIV/0! | #DIV/0!            | #DIV/0!                   | #DIV/0!                                   | #DIV/0! | #DIV/0!                          | #DIV/0!     | #DIV/0! | #DIV/0!            | #DIV/0!                   | #DIV/0!                                   | #DIV/0! | #DIV/0!                          | #DIV/0!     | #DIV/0! | #DIV/0! | 100%    |
| Sr. Staff # of Employees       | 0       | 0                  | 0                         | 0                                         | 0       | 0                                | 0           | 0       | 0                  | 0                         | 0                                         | 0       | 0                                | 0           | 0       | 0       | 0       |
| Sr. Staff % of Employees       | #DIV/0! | #DIV/0!            | #DIV/0!                   | #DIV/0!                                   | #DIV/0! | #DIV/0!                          | #DIV/0!     | #DIV/0! | #DIV/0!            | #DIV/0!                   | #DIV/0!                                   | #DIV/0! | #DIV/0!                          | #DIV/0!     | #DIV/0! | #DIV/0! | #DIV/0! |

Employees are not legally required to self-identify their race or ethnicity. The information contained in this chart is only an estimate of the race and ethnicity of the firm's employees. Accordingly, this chart may not be used for any purpose other than to provide a basic overview of the current gender/race/ethnicity of our employees/